

CMMC Document Checklist (Level 2)

Core Security Policy Documents

- Information Security Policy
- System Security Plan (SSP)
- Acceptable Use Policy
- Access Control Policy
- Incident Response Policy
- Configuration Management Policy
- Audit and Accountability Policy
- Risk Management Policy
- Security Assessment Policy
- Personnel Security Policy
- Physical & Environmental Protection Policy
- Media Protection Policy
- System & Communications Protection Policy
- Maintenance Policy
- Awareness and Training Policy
- Contingency Plan / Disaster Recovery Policy

Plans, Procedures & Supporting Documents

- System Security Plan (SSP)
- Plan of Action & Milestones (POA&M)
- Incident Response Plan
- Security Awareness Training Plan
- Contingency/Disaster Recovery Plan
- Configuration Management Plan
- Change Management Procedures
- Access Authorization Procedures
- Privileged Access Management Procedures
- Account Management Procedures
- Audit Log Review Procedures
- Vulnerability Management Procedures

CMMC Document Checklist (Level 2)

Mobile Device and Telework Procedures

Assessment & Monitoring Artifacts

Security Assessment Reports

Internal Audit Reports

Vulnerability Scan Reports

Penetration Test Results (if applicable)

User Access Reviews

Change Logs / Configuration Baselines

System Inventory List

Software Inventory & Licensing Records

Training & Personnel Documentation

Security Awareness Training Records

Role-based Security Training Records

Background Check Records (where applicable)

NDA/Confidentiality Agreements

Technical & System-Level Artifacts

Firewall & Router Configurations

System and Application Configuration Settings

Multi-factor Authentication Implementation Details

Encryption Configurations

Endpoint Protection Settings

Log Retention and Review Logs

Backup Logs and Test Results

Vendor & Supply Chain Documentation

Third-party Risk Assessments

Supplier Agreements with Flowdown Clauses

Evidence of Supplier CMMC Level (if applicable)

CMMC Document Checklist (Level 2)

Optional (Highly Recommended) Templates & Registers

- Control Implementation Matrix (mapping NIST 800-171 to your controls)
- Data Flow Diagram
- CUI Handling Procedures
- Access Control Matrix
- Asset Management Register
- Patch Management Register
- Change Request Log
- Document Control Log